



01-15-02

PTO/SB/05 (11-00)

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Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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01/11/02

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No.:	1992.VIN
		First Inventor:	Caneba, et. al.
		Title:	FREE RADICAL RETROGRADE PRECIPITATION COPOLYMERS AND PROCESS FOR MAKING SAME
(Only for new nonprovisional applications under 37 CFR 1.53(b))		Express Mail Label No.:	EL569396655US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents		<b>ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</b>	
<p>1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> <b>Applicant claims small entity status.</b> See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <u>22</u>] (preferred arrangement set forth below)           <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, Or computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>4. <input checked="" type="checkbox"/> <b>Drawing(s)</b> (35 USC 113) [Total Sheets <u>3</u> ]</p> <p>5. <input checked="" type="checkbox"/> <b>Oath or Declaration</b> [Total Pages <u>2</u> ]           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul> </p> <p>6. <b>Application Data Sheet.</b> See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> <b>CD-ROM or CD-R</b> in duplicate, large table or Computer Program (Appendix)</p> <p>8. <b>Nucleotide and/or Amino Acid Sequence Submission</b> (if applicable, all necessary)           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> <b>Computer Readable Form (CRF)</b></li> <li>b. <b>Specification Sequence Listing on:</b> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>CD-ROM or CD-R</b> (2 copies); or</li> <li>ii. <input type="checkbox"/> <b>paper</b></li> </ul> </li> <li>c. <input type="checkbox"/> <b>Statements verifying identity of above copies</b></li> </ul> </p>	

**ACCOMPANYING APPLICATION PARTS**

9.  **Assignment Papers** (cover sheet & document(s))
10.  **37 CFR 3.73(b) Statement**  **Power of Attorney**  
(when there is an assignee)
11.  **English Translation Document** (if applicable)
12.  **Information Disclosure**  **Copies of IDS**  
Statement (IDS)/PTO-1449 Citations
13.  **Preliminary Amendment**
14.  **Return Receipt Postcard (MPEP 503)**  
(Should be specifically itemized)
15.  **Certified Copy of Priority Document(s)**  
(if foreign priority is claimed)
16.  **Request and Certification under 35 U.S.C. 122**  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17.  **Other** \_\_\_\_\_

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a Preliminary Amendment, or in an Application Data Sheet 37 CFR 1.76: Continuation  Divisional  Continuation-in-Part (CIP) of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For **CONTINUATION or DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or Declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying Continuation or Divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

**Customer Number or Bar Code Label** \_\_\_\_\_ or  **Correspondence address below**  
(Insert Customer No. or Attach bar code label here)

Name	Thomas F. Roland				
Address	NATIONAL STARCH AND CHEMICAL COMPANY				
	P.O. Box 6500				
City	Bridgewater	State	New Jersey	Zip Code	08807-0500
Country	U.S.A.	Telephone:	908-685-5127	Fax:	908-707-3706
Name (Print/Type)	Thomas F. Roland	Registration No. (Attorney/Agent)			42,110
Signature	Thomas F. Roland	Date			01/11/02

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 780.00)

## Complete if Known

Application Number	To Be Assigned
Filing Date	January 11, 2002
First Named Inventor	Caneba, et. al.
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned
Attorney Docket No.	1992.VIN

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number  
Deposit Account Name

24-0455 1A-CASS

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 740	201 370	Utility filing fee	740
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ 740.00)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Fee from below	Fee Paid
Total Claims	14	-20** = 0 x 0 = 0	
Independent Claims	3	-3** = 0 x 0 = 0	
Multiple Dependent			

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

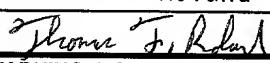
## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 40.00)

## SUBMITTED BY

Complete (if applicable)

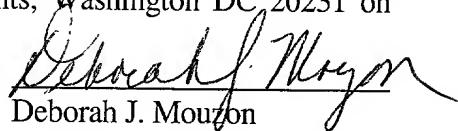
Name (Print/Type)	Thomas F. Roland	Registration No. (Attorney/Agent)	42,110	Telephone	908-685-5127
Signature				Date	01/11/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

**Mailing Certification**

I certify that I am depositing this paper pursuant to 37 CFR §1.8 with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington DC 20231 on January 11, 2002.



Deborah J. Mouzon

Docket #1992.VIN

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

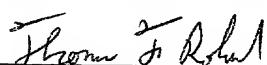
In re Patent Application of: :  
**Caneba, et. al.** :  
Serial No. : Group Art Unit:  
Filed: : Examiner: To Be Assigned  
For: FREE RADICAL RETROGRADE :  
PRECIPITATION COPOLYMERS AND :  
PROCESS FOR MAKING SAME : :  
Commissioner for Patents  
Washington, D. C. 20231

SIR:

In compliance with Applicants' duty of disclosure under 37 C.F.R. 1.56, and in accordance with 37 C.F.R. 1.98, Applicants' direct the Examiner's attention to the publications listed on the PTO #1449 form which is being submitted herewith. Copies of all publications listed on the #1449 form are being submitted herewith.

The disclosure of the above references does not constitute an admission that they are relevant or material to the claims or are "prior art" to the subject application. The citation of them is not to be construed as a representation that no better art exists or that a search has been made; they are cited merely as constituting collectively the closest art of which applicants are aware.

Respectfully submitted,



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Thomas F. Roland, Reg. No. 42,110  
Attorney for Applicant(s)  
Tel. 908-685-5127

National Starch and Chemical  
10 Finderne Avenue  
Bridgewater, New Jersey 08807-0500  
January 11, 2002